

Rev. 04/01



Docket No. VAA-004

#7  
3-6-3765  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Vahid Saadat  
Application No.: 09/746,579 Confirmation No.: 1598  
Filed : December 20, 2000  
For: : MULTI-BARBED DEVICE FOR RETAINING TISSUE  
IN APPOSITION AND METHODS OF USE  
Group Art Unit : 3765

Hon. Commissioner for Patents  
c/o P.O. Box 2327  
Arlington, VA 22202

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**MAR 04 2003**

TECHNOLOGY CENTER R3700

TRANSMITTAL LETTER FOR  
INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application. This Statement is submitted more than three months from the application filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311.

In accordance with 37 C.F.R. § 1.97, this Statement is accompanied by:

[ ] a certification as required under 37 C.F.R. § 1.97(e)(1) or § 1.97(e)(2);

[X] the fee as set forth in 37 C.F.R. § 1.17(p).

The Director is hereby authorized to charge payment of any additional fees required in connection with the accompanying Information Disclosure Statement, or credit any overpayment, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

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Matt Carotto  
2/27/03  
Date of Signature

Chi-Hsin Chang  
Chi-Hsin Chang  
Reg. No. 52,717  
Agent acting under 37 C.F.R. 1.34(a)

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PATENTS  
VAA-004

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,  
applicant hereby makes the following documents of record in  
the above identified application: \*

U.S. Patents

6,368,339	04/2002	Amplatz
6,363,940	04/2002	Krag
6,355,030	06/2002	Aldrich et al.
6,346,109	02/2002	Fucci et al.
6,346,074	02/2002	Roth
6,334,873	01/2002	Lane et al.
6,311,623	11/2001	Zaruba
6,290,702	09/2001	Fucci et al.
6,290,674	09/2001	Roue et al.
6,280,448	08/2001	Trott et al.

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\* Applicant reserves the right to challenge the status of any  
of the cited documents as prior art.

6,269,819	08/2001	Oz et al.
6,245,079	06/2001	Nobles et al.
6,231,561	05/2001	Frazier et al.
6,206,886	03/2001	Bennett
6,165,183	12/2000	Kuehn et al.
6,152,144	11/2000	Lesh
6,146,387	11/2000	Trott et al.
6,086,591	07/2000	Bojarski
6,079,414	06/2000	Roth
6,074,395	06/2000	Trott et al.
6,068,648	05/2000	Cole et al.
6,036,690	03/2000	De La Plaza Fernandez
6,013,083	01/2000	Bennett
5,993,459	11/1999	Larsen et al.
5,968,047	10/1999	Reed
5,957,916	09/1999	Jeevanandam et al.
5,948,001	09/1999	Larsen
5,948,000	09/1999	Larsen et al.
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5,928,244	07/1999	Tovey et al.
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5,540,704	07/1996	Gordon et al.
5,527,321	06/1996	Hinchliffe
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5,403,326	04/1995	Harrison et al.

5,380,334	01/1995	Torrie et al.
5,354,298	10/1994	Lee et al.
5,342,393	08/1994	Stack
5,258,016	11/1993	DiPoto et al.
5,236,445	08/1993	Hayhurst et al.
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5,013,316	05/1991	Goble et al.
4,816,339	03/1989	Tu et al.
3,874,388	04/1975	King et al.
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2002/0035361	03/2002	Houser et al.

#### Foreign Patents

WO 01/85034	11/2001	WIPO
WO 01/26557	04/2001	WIPO
WO 00/69365	11/2000	WIPO
WO 00/27292	11/2000	WIPO
WO 00/69365	08/1999	WIPO
WO 98/32401	07/1998	WIPO

#### Other Documents

Hayashi, K. et al. (1997). "The Effect of Thermal Heating on the Length and Histological Properties of the Glenohumeral Joint Capsule", *The American Journal of Sports Medicine*, 25(1):107-112.

Naseef III, G.S. et al. (1997). "The Thermal Properties of Bovine Joint Capsule, The Basic Science of Laser -and Radiofrequency-Induced Capsular Shrinkage", *The American Journal of Sports Medicine*, 25(5):670-674.

Selecky, M.T. et al. (1999). "The Effects of Laser-Induced Collagen Shortening on the Biomechanical Properties of the Inferior Glenohumeral Ligament Complex", *The American Journal of Sports Medicine* 27(2):168-172.

Copies of the aforementioned documents, which are listed on the accompanying Form PTO-1449 (submitted in duplicate), are enclosed herewith.

It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during the examination of this application; and (2) printed on any patent that may issue on this application. Applicants request that a copy of Form PTO-1449 (submitted in duplicate herewith), as considered and initialed by the Examiner, be returned with the next communication.

An early and favorable action is respectfully requested.

Respectfully submitted,

I hereby Certify that this Correspondence is being Deposited with the U.S. Postal Service as First Class Mail in an Envelope Addressed to:  
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